

# Lawrence G. Stephens, and LGS Associates

## Notice of Default Order

DATE: November 16, 2005

RESPONDANTS: Lawrence G. Stephens, and LGS Associates

ORDER: A Notice of Default Order was issued to respondents after they failed to appear for an adjudicatory hearing scheduled for November 1, 2005. A Notice of Intent to Invoke Administrative Sanctions was issued on May 6, 2005 and respondents requested the hearing with regard to that Notice.

Respondents were ordered to cease and desist from violating the registration requirements of the Kansas Uniform Securities Act, as well as any other acts or practices which constitute violations of the Kansas Uniform Securities Act.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.